

PATENT

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Min Shao, et al.

SERIAL NO.: 09/607,747

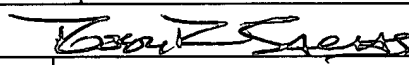
FILING DATE: June 30, 2000

TITLE: Enhancing Delinquent Debt Collection Using Statistical Models of Debt Historical Information and Account Events

EXAMINER: Unknown

GROUP ART UNIT: 2761

ATTY. DKT. NO.: 4797

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Box DAC, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:			
Typed or Printed Name:	Robert R. Sachs, Registration No. 42,120	Dated:	March 23, 2001
Express Mail Mailing Number (optional):	EL668937131US		

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

SIR:

Responsive to the Formalities Letter dated September 25, 2000 received in the above-identified patent application, enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration;
- ☒ Assignment and PTO-1619A;

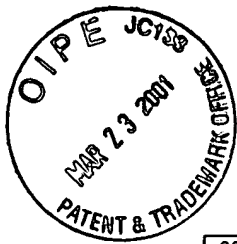
☒ Other: check #23164 in the amount of \$4,520 and check #1072 in the amount of \$520 to cover the application filing fees, the missing parts surcharge, assignment recordation and a four-month month extension of time.

Respectfully submitted,
MIN SHAO, ET AL.

Dated: March 23/01

By: Robert R. Sachs
Robert R. Sachs, Reg. No.: 42,120
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (415) 875-2410
Fax.: (415) 281-1350

13553/04797/SF/5045056.1



03-26-01

Sector 3

PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/607,747 ✓
		Filing Date	June 30, 2000
		First Named Inventor	Min Shao
		Group Art Unit Number	2761
		Examiner Name	Unknown
TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application)		Total Number of Pages in This Submission	16
		Attorney Docket Number	4797

ENCLOSURES (check all that apply)

- | | |
|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)
<input checked="" type="checkbox"/> Check Enclosed
<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts
<input checked="" type="checkbox"/> Assignment & Recordation Cover Sheet
<input checked="" type="checkbox"/> Declaration
<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Information Disclosure Statement & PTO-1449
<input type="checkbox"/> Copies of IDS Cited References
<input type="checkbox"/> Request for Corrected Filing Receipt
<input type="checkbox"/> Request for Correction of Recorded Assignment
<input type="checkbox"/> Amendment/Response: [] Page(s)
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<input type="checkbox"/> Revocation and Power of Attorney | <input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Formal Drawing(s):
[] Sheet(s) of Figure(s) []
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Copy of Formalities Letter
<input type="checkbox"/>
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REMARKS:

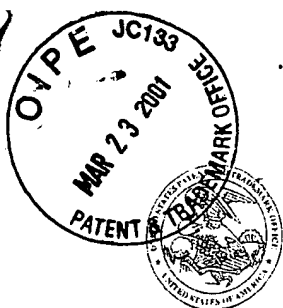
SIGNATURE OF ATTORNEY OR AGENT

Signature:			
Attorney/Reg. No.:	Robert R. Sachs, Registration No. 42,120	Dated:	3/23/01

CERTIFICATE OF MAILING

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Signature:			
Typed or Printed Name:	Robert R. Sachs	Dated:	March 23, 2001
Express Mail Mailing Number (optional):	EL668937131US		



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/607,747	06/30/2000	Shao Minn	4797 US

Renee M Dubord
Fenwich & West LLP
Two Palo Alto Square
Palo Alto, CA 94306

FORMALITIES LETTER



OC000000005422834

Date Mailed: 09/25/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2790.
 - \$2088 for 116 total claims over 20.
 - \$702 for 9 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3610.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/28/2001 EEXUBAY1 00000133 192555 09607747
01 FC:101 710.00 DP
02 FC:103 2088.00 DP
03 FC:102 702.00 DP
04 FC:105 130.00 DP